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OCT 1 3 2005

PATENT APPLICATION Docket No.: 14321.60

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
	Takayuki Mizuno, et al.	Ś
Serial No.:	10/727,171) Art Unit) 2874
Filed:	December 3, 2003)
Confirmation No.:	5976)
For:	OPTICAL MULTI/DEMULTIPLEXING CIRCUIT EQUIPPED WITH PHASE GENERATING DEVICE)))
Examiner:	James D. Stein)

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that the following documents are being facsimile transmitted to United States Patent and Trademark Office at Fax No. 571.273.8300, on the 13th day of October 2005.

- Transmittal Letter for Amendment "A" and Response (3 pages)
- Amendment "A" and Response (28 pages)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$120

Respectfully submitted,

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OCT 1 4 2005

DANA L. TANGREN

Attorney for Applicant Registration No. 37,246 Customer No. 022913

Telephone No. 801.533.9800

D1.T:dfw DFW0000015763V001.doc In re application of:

RICK D. NYDEGGER BRENT E LORINGE THOMAS R. VURSTNICK LARRY R. LAYCOCK JONATHAN W. RICHARDS DAVID R. WRIGHT JOHN C. STRINGHAM JOHN M. GUYNN CHARLES L. ROBERTS DANA L. TANCREN ERIC L. MASCHOFF CHARLES J. VEVERKA ROBYN L. PHILLIPS RICHARD C. GILMORE * STERLING A. BRENNAN R. BURNS ISRAELSEN DAVID R. TODD DAVID B. DELLENBACH L. DAVID GRIPPIN Adrian J. Lee FRASER D. ROY

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			Takayuki Mizuno, et al.)	
W/	Serial No.:		10/727,171) Art Unit) 2874	
W	Filed:		December 3, 2003)	
	Confirmation 1	No.:	5976)	
R. Parrish Freeman, Jr. Peter F. Malen, Jr. L. Rex Sears, Ph.D. William R. Richter Eric M. Kamesth Roubert E. Aycock	For:		OPTICAL MULTI/DEMULTIPLEXING CIRCUIT EQUIPPED WITH PHASE GENERATING DEVICE))))	
JENS C. JENKINS MICHAEL B. DODD KEVIN W. STINGER	Examiner:		James D. Stein	ý	
WILLIAM J. ATHAY RYAN D. BENSON SARA D. JONES TICE KELLER JANNA L. JENSEN	TRANSMITTAL FOR AMENDMENT "A" AND RESPONSE				
MATTHEW D. TODO	NACH CAMA ANGENTONATION				
J. LaVar Oldham Michael J. Frodsham	Mail Stop AMENDMENT Commissioner for Patents				
JOSEPH L. KRUPA BRETT A. HANSEN	P.O. Box 1450				
BRETT I. JOHNSON MATTHEW A. BARLOW WESLEY C. ROSANDER	Alexandria, VA 22313-1450				
Andrew S. Hansen Chad E. Nydegger Ioseph G. Pia	Sir:				
CLINTON E. DUKE RYAN N. FARR " JAMES B. BELSHE KIRK R. HARRIS KELLY SCHNEITER	Transmitted herewith is an Amendment "A" and Response for entry in the above-identified application.				
MICHAEL M. BAILARD DAVID A. JONES SHANE K. JENSEN JONATHAN M. BENNS, PH.D.	Small entity status of this application is claimed under 37 C.F.R. § 1.9 and § 1.27.				
VERNON R. RICE § OF COUNSEL			e approval of the Examiner for proposed ame closed are the following:	endments to the	
		Letter	r to the Official Draftsperson;		

[·] Admitted only to California § Admitted only in Virginia

October	13,	2005
Page 2		

				
		Set of () sheets of drawings containing Figures including the proposed amendments therein; and		
	_	A duplicate set of the () sheets of drawings with the changes therein highlighted in red.		
<u>X</u>	To render the transmitted Amendment "A" and Response timely filed enclosed is the following:			
	<u>X</u>	a Petition for a One-Month Extension of Time; and		
	<u>x</u>	a Form PTO-2038 submitting Credit Card Payment in the amount of \$120.00.		
<u>x</u>	A Cer	tificate of Facsimile Under 37 C.F.R. § 1.10.		
_	No ot	her additional fee is required.		

The fee has been calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS REMAINING AFTER	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDT'I. FEE	RATE	ADDT'L FEE
TOTAL 56	MINUS 56	0	X	0	X	
INDEPENDENT 55	MINUS 3	= 0	x		X 200.00	
I [®] PRESENTATION OF MULTIPLE DEPENDENT CLAIM		+		+=		
		• • •	TOTAL		TOTAL	

		PTO-2038 submitting Credit Card Payment in the amount of \$ is sed to cover the claim amendments.		
	Please	charge my Deposit Account No. 23-3178 in the amount of		
<u>X</u>	The Commissioner is hereby authorized to charge payment of the following for associated with this communication or credit any overpayment to Deposit According No. 23-3178.			
	<u>x</u>	Any filing fees under 37 CFR 1.16 for the presentation of extra claims.		
	<u>x</u>	Any patent application processing fees under 37 CFR 1.17.		
	Λ dup	olicate copy of this transmittal letter is attached.		

October 13, 2005 Page 3

Dated this 13th day of October 2005.

Respectfully submitted,

DANA L. TANGREN V Attorney for Applicants Registration No. 37,246 Customer No. 022913

Telephone No. 801.533.9800

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AMENDMENT "A" AND RESPONSE

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

In response to the Office action of June 17, 2005, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 5 of this paper.

Remarks/Arguments begin on page 20 of this paper.